



10-9-03

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|---|------------------------------|--------------------------|------------------------|-------------------------|--|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Adam Wade Smith et al. | | | | Docket No. 13768.371 | |
| Serial No. 10/602,122 | Filing Date June 23, 2003 | Confirmation No. 9110 | Examiner Unassigned | Group Art Unit 2153 | |
| Invention: GENERAL DEPENDENCY MODEL FOR INVALIDATING CACHE ENTRIES | | | | | |
| <p>I hereby certify that the Transmittal letter (1 page) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (2 pgs); Legible Copy of 1 Cited Reference; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>Oct. 7, 03</u>.</p> | | | | | |
| <p><u>Dee So</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>[Signature]</u> (Signature of Person Mailing Correspondence)</p> <p>EV 329632571 US (<u>"Express Mail" Mailing Label Number</u>)</p> | | | | | |
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**WORKMAN
NYDEGGER**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
STERLING A. BRENNAN†
GREGORY M. TAYLOR
DAVID B. DELLENBACH
R. BURNS ISRAELSEN
DAVID R. TODD
L. DAVID GRIFFIN
ADRIAN J. LEE
FRASER D. ROY
CARL T. REED

R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, PH.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
MICHAEL B. DODD
WILLIAM R. RICHTER
RYAN D. BENSON
SARA D. JONES
TIGE KELLER
JANNA L. JENSEN
MATTHEW D. TODD
J. LAVAR OLDHAM
MICHAEL J. FRODSHAM
JOSEPH L. KRUPA
BRETT A. HANSEN
BRETT I. JOHNSON
MATTHEW A. BARLOW

† ADMITTED ONLY IN CALIFORNIA

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MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnpatent.com>GENERAL E-MAIL: info@wnpatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

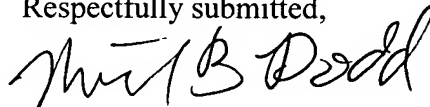
Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of one reference submitted for consideration.

X Legible copy of the listed reference or their relevant portions.

Dated this 7th day of October, 2003.

Respectfully submitted,



MICHAEL B. DODD
Attorney for Applicant
Registration No. 46,437
Customer No.: 022913

WORKMAN NYDEGGER
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Fax: (801) 328-1707

PATENT APPLICATION

Docket No.: 13768.371

Docket No.: 13768.371



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

There application of:

Adam Wade Smith et al.

Serial No.: 10/602,122

Filed: June 23, 2003

Confirmation No.: 9110

For: **GENERAL DEPENDENCY MODEL
FOR INVALIDATING CACHE ENTRIES**

Examiner: Unassigned

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

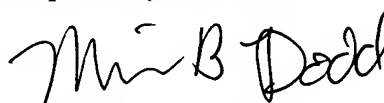
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

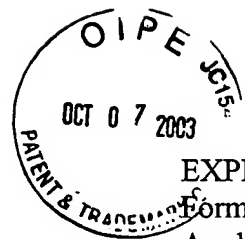
Dated this 7th day of October, 2003.

Respectfully submitted,



MICHAEL B. DODD
Attorney for Applicant
Registration No. 46,437
Customer No.: 022913

WORKMAN NYDEGGER
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Fax: (801) 328-1707



EXPRESS MAIL LABEL NO.: EV 329 632 571US

Form PTO-1449

Sheet 1 of 2

Applicant: Adam Wade Smith et al.

Serial No.: 10/602,122

Att'y Docket No.: 13768.371

Filing Date: June 23, 2003

Group: 2153

Confirmation No.: 9110

For: GENERAL DEPENDENCY MODEL FOR INVALIDATING
CACHE ENTRIES

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| <u>Examiner</u> <u>Initial*</u> | <u>Patent</u> <u>Number</u> | <u>Issue</u> <u>Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub</u> <u>Class</u> | <u>Filing</u> <u>Date</u> |
|------------------------------------|--------------------------------|-----------------------------|-------------|--------------|----------------------------|------------------------------|
| _____ A1. | 6,185,608 | 2/6/2001 | Hon, et al | 709 | 216 | 6/12/1998 |

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 329 632 571US

Form PTO-1449

Sheet 2 of 2

Applicant: Adam Wade Smith et al.

Serial No.: 10/602,122

Att'y Docket No.: 13768.371

Filing Date: June 23, 2003

Group: 2153

Confirmation No.: 9110

For: GENERAL DEPENDENCY MODEL FOR INVALIDATING
CACHE ENTRIES

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.